



Initial Findings of the Phase 1 Trial of PBCAR0191, a CD19 Targeted Allogeneic CAR T Cell Therapy

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INTRODUCTION

PBCAR0191 is an off-the-shelf allogeneic CD19-targeted chimeric antigen receptor (CAR) T cell product derived from qualified donor T cells that have been genetically edited to remove the expression of the endogenous T cell receptor (TCR) and insert the CAR in the same locus. The goal is to achieve anti-tumor effect and reduce the possibility of graft-versus-host disease (GVHD) when it is administered to human leukocyte antigen (HLA)-mismatched patients with CD19 expressing B-cell malignancies.

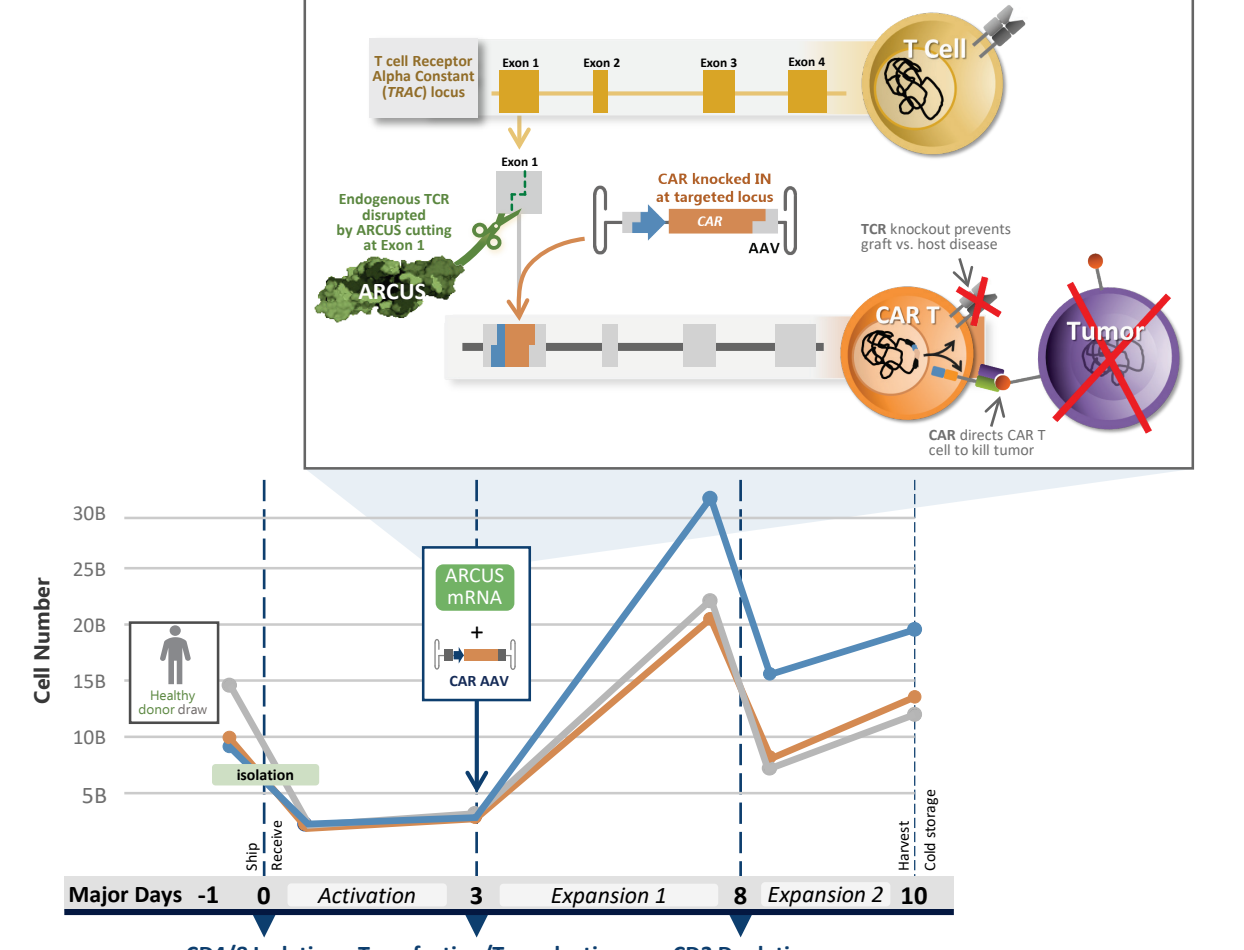


Figure 1. Leukopaks from healthy donors are used to isolate T cells, which are activated. On day 3, ARBUS-mediated gene-editing removes TRAC and CAR is inserted in same location. Cells are expanded, CD3 depleted on day 8, and re-expanded until harvest, viating, and freezing day 10.

OBJECTIVE

Evaluate the safety and clinical activity of PBCAR0191 in adult patients with relapsed or refractory (R/R) B-cell acute lymphoblastic leukemia (B-ALL) and adult patients with R/R B-cell non-Hodgkin lymphoma (NHL).

METHODS

Phase 1 Dose Escalation: Standard 3+3 Dose escalation
- Dose Level 1 (DL1) = 3.0×10^7 /kg ($\sim 1.8 - 2.5 \times 10^7$ total cells) n=3
- Dose Level 2 (DL2) = 1.0×10^8 /kg ($\sim 6.0 - 8.5 \times 10^7$ total cells) n=3
- Dose Level 3 (DL3) = 3.0×10^8 /kg ($\sim 1.8 - 2.5 \times 10^8$ total cells) n=3
Maximum tolerated dose (MTD) = no more than 1 of 6 patients experience a DLT
Two cohorts evaluated independently: Cohort A: B-ALL; Cohort B: NHL

Primary Endpoints: The primary objective of this Phase 1 portion of the ongoing Phase 1/2a trial is to evaluate safety as measured by the occurrence of dose limiting toxicities (DLTs)

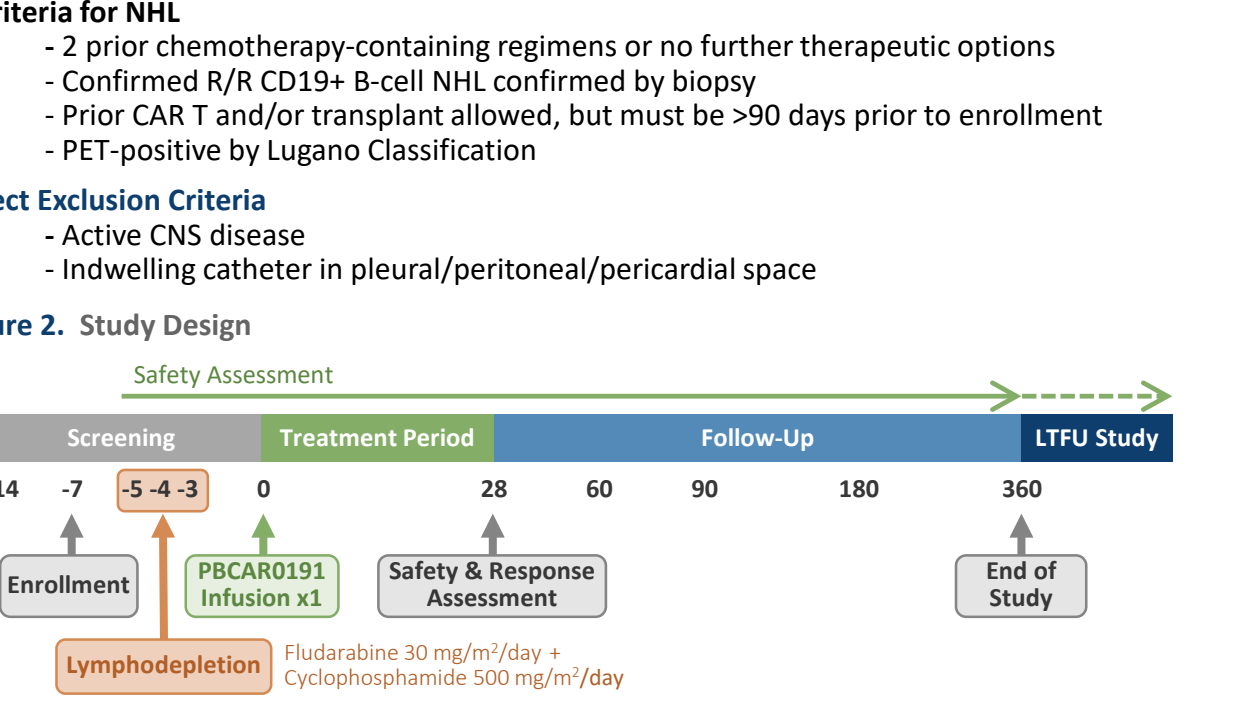
Secondary Endpoint: Secondary objectives include assessment of objective tumor responses using standard criteria, and further evaluation of AEs and adverse events of special interest, GVHD, CRS, and ICANS

Exploratory objectives/endpoints
- Cell expansion and persistence using
- Flow cytometry
- PCR from lysed PBMC (peripheral blood mononuclear cells)
- Peripheral cytokine analysis from serum

Select Inclusion Criteria
• Eastern Cooperative Oncology Group (ECOG) score (0,1)
• Adequate organ function (detailed at <https://www.clinicaltrials.gov/ct2/show/NCT03666000>)
• Criteria for B-ALL
- R/R CD19+ B-cell ALL confirmed ($\geq 5\%$ blasts)
- Ph-chromosome disease allowed if prior TKI therapy has failed
• Criteria for NHL
- 2 prior chemotherapy-containing regimens or no further therapeutic options
- Confirmed R/R CD19+ B-cell NHL confirmed by biopsy
- Prior CAR T and/or transplant allowed, but must be ≥ 90 days prior to enrollment
- PET-positive by Lugano Classification

Select Exclusion Criteria
- Active CNS disease
- Indwelling catheter in pleural/peritoneal/pericardial space

Figure 2. Study Design



Acalabrutinib=Ac; Adirymycin=Adi; Allogeneic transplant=Allo; Autologous transplant=Auto; Bendamustine=Benda; Blinatumomab=Blin; Carboplatin=Carbo; CAR T immunotherapy=CAR T; Complete Response=CR; Cyclophosphamide=Cyt; Cytarabine=Cyt; Cytokine Release Syndrome=CRS; Doxamethasone=Dox; Doxorubicin=Dox; Efficacy Large B-Cell Lymphoma=DLBL; Doxorubicin=Dox; Graft Versus Host Disease=GVHD; Hydroxyurea=Hyd; Ibrutinib=Ibru; Ifosfamide=Ifos; Immune Effector Cell Associated Neurotoxicity Syndrome=ICANS; Inotuzumab=Ino; IT MTX alternating with Ara-C=IT MTX/ARA-C; Lenalidomide=Len; Mantle Cell Lymphoma=MCL; Mesna=Mesna; Methotrexate=MTX; Obinutuzumab=Obin; Partial Response=PR; Ponatinib=Pona; Prednisone=Pred; Progression-free Survival=PFS; Progressive Disease=PD; R-Cytarabine=R-Cyt; Revlimid=Rev; Rituximab=R; Stable Disease=SD; Venetoclax=Vclax; Vincristine=Vinc.

RESULTS

Table 1. Patient Demographics

	NHL/DL1 n=3	NHL/DL2 n=3	B-ALL/DL2 n=3	Total N=9	
Age (Years)	Mean (min, max)	54 (34,64)	73.7 (71,77)	56 (48,72)	61.2 (34,77)
Sex, n(%)	Female	1 (33%)	0	1 (33%)	2 (22%)
Race	Asian	0	1 (33%)	1 (33%)	2 (22%)
White	3 (100%)	2 (67%)	2 (67%)	7 (78%)	
Weight (kg)	Mean (min, max)	88.3 (83.4, 92.0)	83.4 (62.7, 106)	88.3 (45.4, 111)	86.7 (45.4, 111)
Prior # of lines of therapy	Median (range)	4 (4,5)	2 (1,3)	4 (3,5)	4 (1,5)

Table 2. Relapsed versus Refractory to Most Recent Therapy

	NHL/DL1 n=3	NHL/DL2 n=3	B-ALL/DL2 n=3	Total N=9
Response to last therapy prior to enrollment				
Refractory	2/3	1/3	3/3	6/9
Relapsed	1/3	2/3	0/3	3/9

Table 3. Grade 3 or Higher Treatment Emergent Adverse Events (Cohorts N and A)

System Organ Class Preferred Term	DL1 (n=3)	DL2 (n=3)	Overall (n=6)
Hematologic			
Neutropenia	1 (33%)	1 (33%)	2 (33%)
Lymphocyte count decreased	1 (33%)	2 (67%)	3 (50%)
Neutrophil count decreased	1 (33%)	2 (67%)	3 (50%)
White blood cell count decreased	1 (33%)	2 (67%)	3 (50%)
Musculoskeletal and connective tissue disorders			
Musculoskeletal chest pain	1 (33%)	0 (0%)	1 (17%)
Vascular disorders			
Hypertension	1 (33%)	0 (0%)	1 (17%)
Adverse Events of Special Interest (Max Grade)			
CRS - Max Grade 2	1 (33%)	0 (0%)	1 (17%)
CRS - Max Grade 1	0 (0%)	1 (33%)	1 (17%)
ICANS - Max Grade 2	0 (0%)	0 (0%)	0 (0%)
GVHD	0 (0%)	0 (0%)	0 (0%)

Table 4. Summary of Adverse Events Related to Lymphodepletion

	Both NHL and B-ALL Cohorts	Maximum Grade in Distinct Patients (n=9)	Total
WBC decrease	-	3 (33%)	3 (33%)
Platelet decrease	-	2 (22%)	2 (22%)
Neutrophil decrease	-	2 (22%)	2 (22%)
Lymphocyte (ALC) decrease	2 (22%)	3 (33%)	5 (56%)
Hyperglycemia	1 (11%)	-	1 (11%)
Infection	0	0	0

Table 5. Summary of Objective Responses

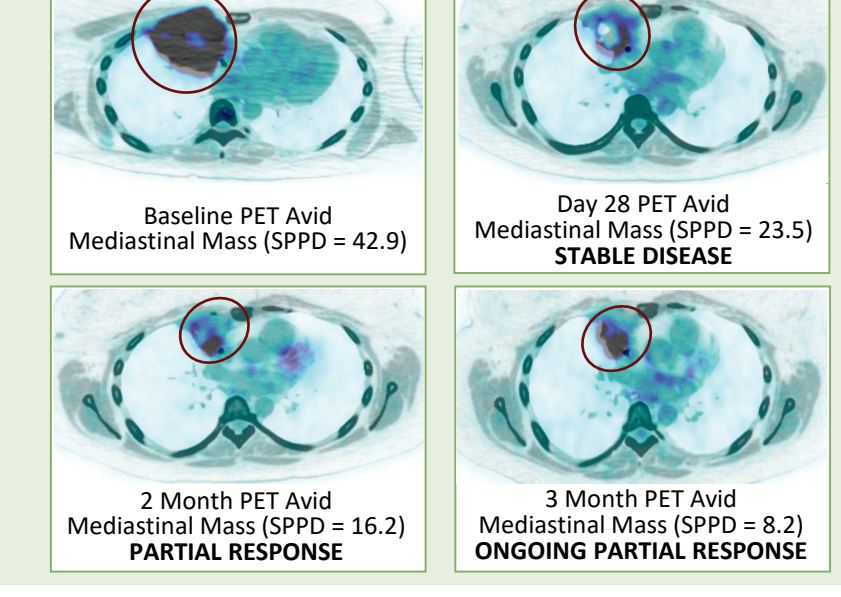
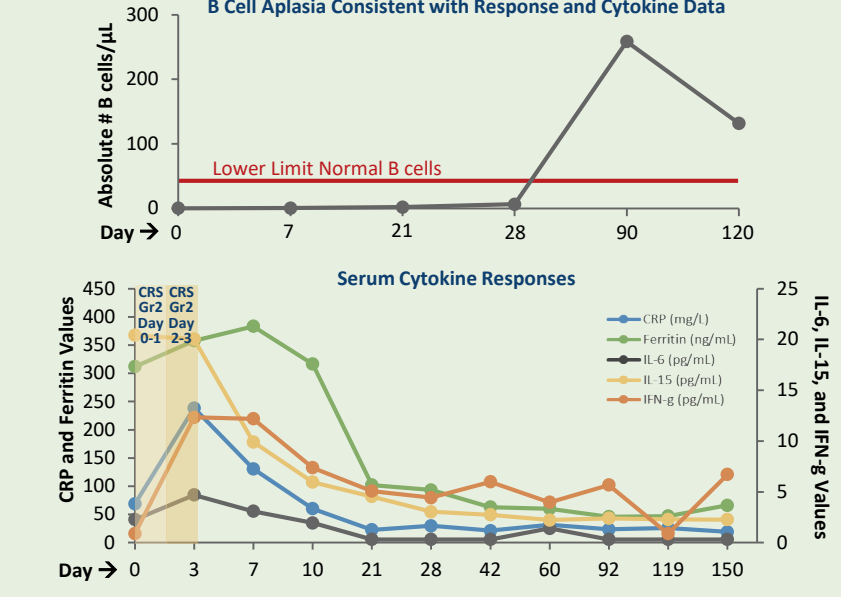
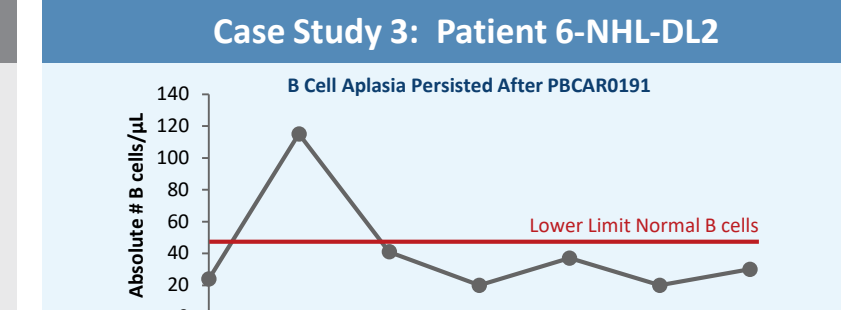
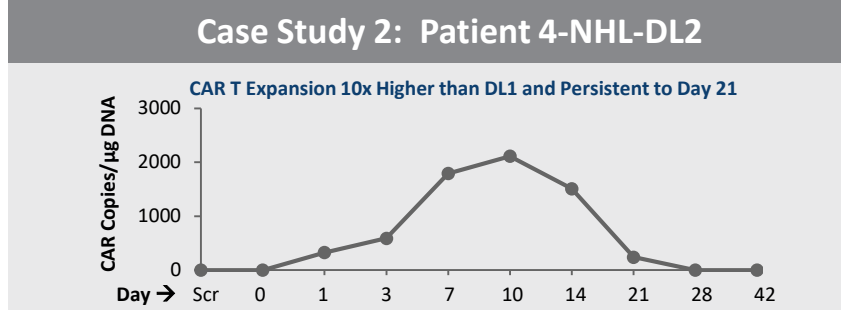
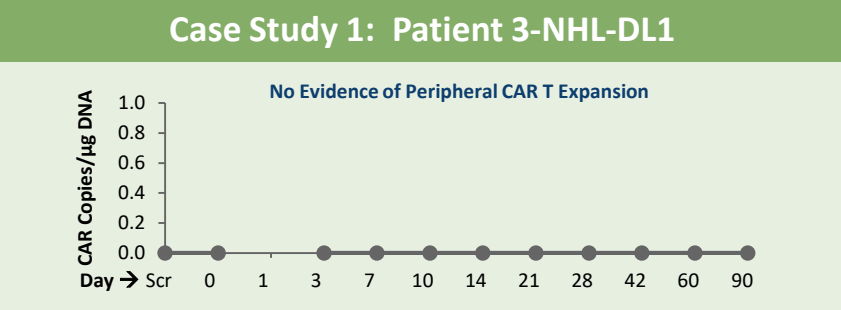
	NHL/DL1 n=3	NHL/DL2 n=3	NHL/Total n=6	B-ALL/DL2 n=3
Best Response	Complete	1 (33%)	2 (33%)	1 (33%)
Partial	2 (66%)	2 (66%)	4 (66%)	0
Progressive Disease	0	0	0	2 (66%)
Response at Day ≥ 28	2 (66%)	2 (66%)	4 (66%)	1 (33%)
Progressive Disease Day < 28	1 (33%)	1 (33%)	2 (33%)	2 (66%)

Table 6. Non-Hodgkin Lymphoma Cohort Baseline Characteristics, Prior Treatments, Prognostic Indicators, and Outcomes

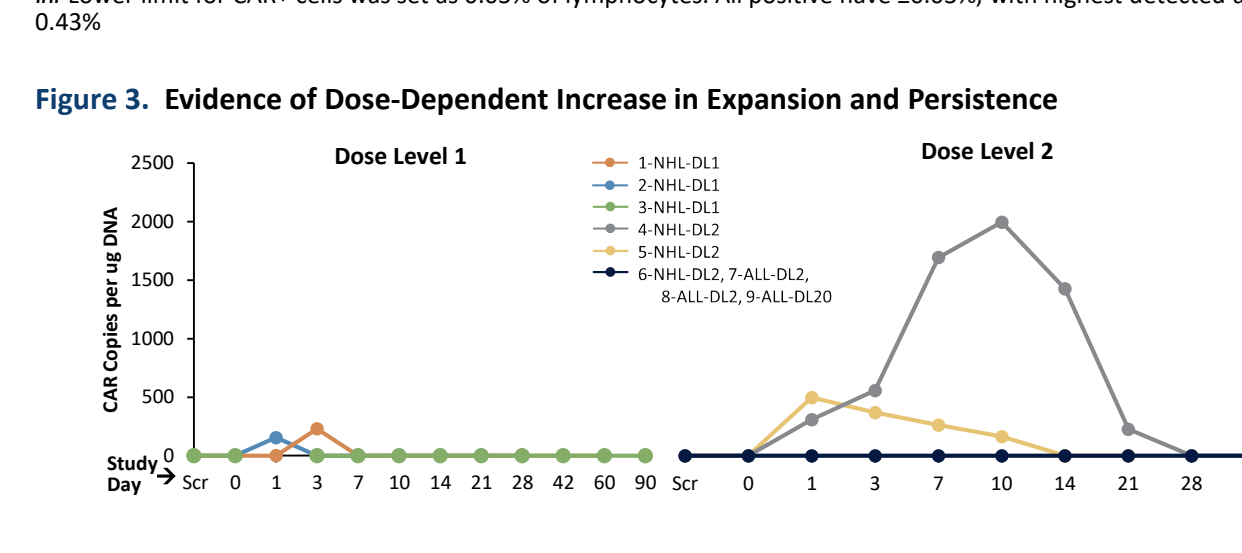
Patient ID (ID-Cohort-Dose Level)	Dx	Age (Dx/study entry)	ECOG PS	Race/ Sex	Prior Therapy	Best Response (to regimen)	Relapsed / Refractory (Most Recent)	Prior Transplant (Y/N, Type)	Baseline SPD (pre LD)	Extranodal sites	LDH (< or > ULN)	Ki67	Best Overall Response	Best Response Day ≥ 28	PFS (Days)**	CRS or ICANS?	External PCR Expansion (Study Days+)**	Internal Flow Expansion (Study Days+)**				
1-NHL-DL1	DLBCL	64/64	1	W/M	CVP-R Benda, R R-CHOP Auto Obin, Rev R-CHOP	CR	Refractory	Yes (Auto)	6.82	Yes	258 (<)	65%	Partial Response Day 28	Partial Response	60	None	Positive (Day 3)	Negative				
2-NHL-DL1	MCL	63/64	0	W/M	Ibru, Vclax R-CHOP CAR T	PD	Refractory	No	2,337	Yes	241 (>)	90%	Complete Response Day 14	Progressive Disease	N/A	None	Positive (Day 1)	Negative				
3-NHL-DL1	DLBCL	32/34	0	W/F	Ifos, Carbo, Etop, R Auto CAR T	PR	Relapsed	Yes (Auto)	42.9	Yes	238 (>)	-	Partial Response Day 60	Partial Response	180*	CRS Grade 2	Negative	Negative				
4-NHL-DL2	MCL	70/71	0	W/M	Benda and R Acala	PR	Refractory	No	3,693	No	180 (<)	40%	Partial Response Day 28	Partial Response	60	None	Positive (Day 1-21)	Positive (Days 1-60)				
5-NHL-DL2	MCL	64/73	0	W/M	R, Len R	CR	Relapsed	No	3	Yes	159 (<)	85%	Partial Response Day 14	Progressive Disease	N/A	Hypotension Gr 1; No Fever; ASCTC = Not CRS*	Positive (Day 1-10)	Positive (Day 1)				
6-NHL-DL2	MCL	75/77	0	A/M	R Acala, R	SD	Relapsed	No	10.8	Yes	416 (>)	100%	Complete Response Day 28*	Complete Response*	28+*	CRS Grade 1*	<LLQ; Detectable (Day 7)**	Positive (Days 1-3)*				

Table 7. Acute Lymphoblastic Leukemia Cohort Baseline Characteristics, Prior Treatments, Prognostic Indicators, and Outcomes

Patient ID (ID-Cohort-Dose Level)	Age (Dx/study entry)	ECOG PS	Race/ Sex	Prior Therapy	Best Response (to regimen)	Relapsed / Refractory (Most Recent)	Prior Transplant (Y/N, Type)	Blast % Bone Marrow	BCR-ABL (yes/no)	Prior Blin (Y/N)	Prior Ino (Y/N)	LDH (> or < ULN)	Prior CNS	Best Overall Response	Best Response Day ≥ 28	PFS (Days)**	CRS or ICANS?	External PCR Expansion (Study Days+)**	Internal Flow Expansion (Study Days+)**
7-ALL-DL2	72/72	1	W/M	Vinc, Dex, Das, IT MT/ARA-C Vinc Pona, Vinc, Dex	PD	Refractory	No	95%	Yes	No	No	674 (-)	Yes	Progressive Disease	Progressive Disease	N/A	None	Negative*	Positive (Day 7)
8-ALL-DL2	45/48	1	W/M	Cy, Mesna, Vinc, Dox, Dex, Cyt, MTX Vinc, Pred, MTX	CR	Refractory	No	77%	No	Yes	Yes	256 (<)	No	Progressive Disease*	Progressive Disease*	N/A*	None*	Negative**	Negative***
9-ALL-DL2	47/48	1	A/F	Blin Allo Ino, IT chemo Allo	CR	Refractory	Yes, Allo x 2	19.8%	No	Yes	Yes	719 (>)	No	Complete Response Day 28*	Complete Response*	28+*	CRS Grade 1; ICANS Grade 2*	<LLQ; Detectable (Day 1, 3, 10, 14)**	Positive (Day 28)*



** = data after Nov 4 deadline (critical); **PFS estimated to study visit day
qPCR performed on DNA extracted from isolated PBMC. Note: extremely low PBMC isolation in 6-NHL-DL2, 7-ALL-DL2, 8-ALL-DL2, and 9-ALL-DL2 yielded low DNA quantities, making interpretation of these results difficult. They are shown for completeness



CONCLUSIONS

- First-in-human study of PBCAR0191: adverse event profile is acceptable and may compare favorably with approved autologous products (Tables 3, 6, 7)
 - No \geq grade 3 events of CRS or ICANS. No evidence of GVHD
 - Tocilizumab and Dexamethasone: 2 pts (22%) overall and 2/6 DL2 (33%)
- No Maximum Tolerated Dose has yet been identified
 - No DLTs observed in DL1 NHL cohort or in DL2 NHL or B-ALL cohorts
- Objective evidence of cell-mediated anti-tumor effect has been observed in DL1 and DL2
 - 7 of 9 patients have objective evidence of tumor response at any timepoint (6/6 NHL and 1/3 B-ALL) (Tables 5, 6, and 7)
 - ORR in NHL cohort at Day 28 or later is 66% (4 of 6)
 - Includes 1 CR at Day 28 (still in follow-up)
 - Includes 1 PR for 6 months
 - B-ALL cohort has 1 MRD negative CR at day 28 (33% CR/Cri rate at DL2)
 - 2 other patients had poor prognosis at study entry
- Dose dependent demonstration of mechanism of action (Case Studies 1-4, Figure 3, Tables 6, 7)
 - Cell expansion observed at DL1 and increase in peak observed in DL2
 - Cell persistence through day 21 (PCR) and day 28 and 60 (Flow) in DL2
 - B cell aplasia corresponds to timing of PBCAR0191 activity
 - CRS and ICANS, clinical response, serum cytokine profiles, B cell aplasia, and cell expansion anecdotally correspond
 - Larger patient numbers required for true correlation
- To the best of our knowledge, this is the first time, using an allogeneic CAR T product and non-biologic lymphodepletion, that a clinical study has shown:
 - Anti-tumor activity and cell expansion
 - No evidence of GVHD, DLTs, or \geq Grade 2 CRS
 - ORR after progression from an autologous CAR T product